



Investors' Exchange LLC's Business Continuity Planning Disclosure Statement

IEX Group, Inc. ("IEXG") has developed a Business Continuity Plan ("BCP" or the "Plan") detailing how the Firm and its wholly owned subsidiaries, Investors Exchange LLC (the "Exchange") and IEX Services LLC ("IEXS") will address the possibility of a future Significant Business Disruption ("SBD") and respond to events of a varying scope which may impact the Firm's business. Since the timing and impact of disasters and disruptions is unpredictable, the Firm will have to be flexible in responding to actual events as they occur. With that in mind, the Firm is providing you with this information regarding its Plan.

Contacting Us – If, after an SBD, you cannot contact IEX as you usually do at (646) 343-2000, you should call the Firm's emergency hotline at (888) 481-9706 or go to its Website at www.iextrading.com. If you cannot access the Firm through either of those means, you should contact the Depository Trust Clearing Corporation's ("DTCC") National Securities Clearing Corporation ("NSCC") at (888) 382-2721 for instructions on how it may clear and settle your orders, and/or IEXS's clearing firm, Broadcort, a division of Merrill Lynch, Pierce, Fenner & Smith, at (800) 246-0561 or www.broadcort.com for instructions on how it may clear and settle your routed orders.

Business Continuity Plan – IEX plans to quickly recover and resume business operations after an SBD and respond by safeguarding its employees and property, making a financial and operational assessment, and protecting the Firm's books and records. In short, the Firm's BCP is designed to permit the Firm to resume operations as quickly as possible, given the scope and severity of the SBD. While the Plan has been reasonably designed to allow us to operate during SBD's of varying severity, such potential disruptions are unpredictable. Consequently, IEX cannot guarantee the availability of systems or recovery timeframe if an SBD occurs.

IEX's BCP addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with Members, employees and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring our Members' prompt access to trade if we are unable to continue our business.

IEXS's clearing firm, Broadcort, backs up important records in a geographically separate area from the Firm's Main Office. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, Broadcort's objective is to restore its own operations and be able to complete existing transactions and accept new transactions and payments within four (4) hours. Settlement confirmation of your routed orders could be delayed during this period.

Varying Disruptions – SBDs can vary in their scope, such as only affecting IEX, a single building housing the Firm, the business district where the Firm is located, the city where the Firm is located, or the entire region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only the Firm or a building housing it, the Firm will transfer its operations to a local site when needed and expect to recover within four hours and resume business within the same day. In a disruption affecting our business district, city or region, we will transfer our operations to a site outside of the affected area, and recover and resume business as quickly as possible. In either situation, the Firm plans to continue its business, transfer operations to its clearing firm if necessary, and notify you of how to contact the Firm.

For more information – Any questions regarding IEX's BCP should be directed to: John Schwall, Chief Operating Officer, via telephone at (646) 343-2030 or email at john.schwall@iextrading.com.