



EQUITIES PORT REQUEST FORM

ORDER TYPE

Addition Removal Change to Existing Service Bureau (only check if you are a Service Bureau)

PORT DETAILS

Connectivity Site: IEX POP: Secaucus (Hot/Primary) Disaster Recovery: Chicago (Cold/Secondary)
 IEX Test Facility ("ITF"): Secaucus (Non-Production)

Type of Access: Cross-Connect 3rd Party Extranet: _____

Drop Copy Port: New Existing SenderCompID: _____
 Qty: _____ MPID(s) to Drop: _____

Message(s) to Drop: Fills Breaks Statuses (Acks, Cancels, Rejects, etc.)

Order Entry Port: Qty: _____

Order Entry Port Minimum Required Configurations:

- Clone an Existing Port? Yes No Session ID: _____
- Routing Enabled*? Yes No
- Allow ISO Orders? Yes No
- Symbology type? (Choose one only) INET CMS CMS Split
- Allow Market Orders? Yes No
- Allow Short Sale Orders? Yes No
- Allow Trading in Pre- & Post-Market Sessions? Yes No
- Allow Trade Busts? Yes No
- Cancel on Disconnect**? (Choose one only) All All, excluding On-Open/Close None
- Enable Anti-Internalization (AIQ)***? Yes No
 - If Yes: default by Broker or MPID? Broker MPID
 - If Yes: Agency and/or Principal? Agency Principal
 - If Yes: Report AIQ Fields? Yes No
- Is this Session intended for Sponsored Access? Yes No

Max Order Share Size: (Default = 1,000,000 shares) _____

Max Order Notional Value: (Default = \$30,000,000) _____

MPID(s) Permissioned on this Port: _____

Clearing # Assignment for MPID(s): _____

Anticipated Total Message Volume per Day: _____

Anticipated Message to Trade Ratio: _____

Anticipated Peak Message Rate (msg/second): _____

Allow Retail Orders on All Ports Specific Ports (List Specific Ports in Comments)

Instruct IEX to Default All Orders Received on the following Port(s) as Retail Orders: _____



Note: Each port is delivered as a primary logical port at the requested IEX data center.

Users may request to increase the max order share size and max order notional value parameters to be less restrictive than the IEX default values. IEX Market Operations will record and review all requests to increase order limits.

REMOVAL REQUEST

Order Entry Port	<input type="checkbox"/> Session ID(s): _____	Reason for Removal: _____
Drop Copy Port	<input type="checkbox"/> Session ID(s): _____	Reason for Removal: _____

ADDITIONAL COMMENTS OR REQUEST NOTES

ORDER AUTHORIZATION

MPID(s) / Company Name:

Authorized Contact (Please Print):

Authorized Signature:

Date:

* Users who wish to enable routing, must complete and submit a Securities Routing Agreement found in the [Member Application](#).

** **All:** Cancel all open orders (Continuous Book, On-Open, and On-Close). If a disconnect occurs between the Lock-in Time and the auction match, orders that are locked-in for the auction will not be canceled. For the Opening Auction, any unfilled portion of DAY and GTX limit orders will be canceled at the conclusion of the auction match in a manner similar to Limit-On-Open ("LOO") orders. **All, excluding On-Open/On-Close:** Cancel all open orders, excluding On-Open and On-Close orders. **None:** Do not cancel any open orders.

The Exchange provides a best effort attempt to cancel all open orders from a User upon a communications disconnect. A loss of connectivity signal must travel through the IEX POP before IEX becomes aware of the loss of connectivity. There is no guarantee that the automatic cancel feature provided by the Exchange will be error free or will operate without interruption. By signing above, you agree and acknowledge that the Exchange is not liable or responsible in any way for any orders which may fail to be canceled using the automatic cancel feature. Members may call the Market Operations at 646.343.2310 to verbally request that all open orders be canceled or check the status of open orders.

*** AIQ is an optional functionality that enables a User to prevent two of its orders from executing against each other. A User can set a default AIQ group for each port, which will prevent the User's orders from self-matching against orders originating from either the same Member (broker) or MPID. Users that select "Report AIQ Fields" on this form will receive a cancel/restatement message for each order that was cancelled because it was subject to AIQ. Please refer to Exchange Rule 11.190(e) and IEX's FIX specifications for additional information.

All services and products requested on this form are governed by the terms in the User Agreement and the Exchange Rules.

If you do not receive a written confirmation from IEX within 3 business days that your request has been received and processed, please contact Market Operations at 646.343.2310 or marketops@iextrading.com.

Please refer to the IEX Fee Schedule posted at www.iextrading.com or the latest price list.