



COMPANY EVENT NOTIFICATION FORM

Instructions: Please complete all parts of this form. In Part II, only fill out the individual sections that are relevant to the specific type of event being reported on this form. If an item is not applicable indicate N/A. Attach additional sheets if necessary.

PART I: CORPORATE INFORMATION

GENERAL INFORMATION

Date:

Company Name (the "Company"):

PRIMARY CONTACT

Name:

Title:

Phone:

Email:

U.S. Business Hours Contact (if located outside of the U.S.):

ALTERNATE CONTACT

Name:

Title:

Phone:

Email:

U.S. Business Hours Contact (if located outside of the U.S.):

OUTSIDE LEGAL COUNSEL

Firm Name:

Contact Name:

Phone:

Email:

U.S. Business Hours Contact (if located outside of the U.S.):



PART II: TYPE OF CHANGE

The information required by the exchange varies depending on the type of event being reported. Multiple events may be reported in one form provided that the effective date for these changes is the same.

Is the Company reporting a change on this form in conjunction with a Listing Application for a Company Conducting a Business Combination that Results in a Change of Control? Yes No

SECTION 1. Change in Company Name

Current Company Name:

New Company Name:

Note: A new Listing Agreement reflecting the Company's new name must also be completed when submitting a name change.

SECTION 2. Change in Trading Symbol, CUSIP, Security Type and/or Par Value

	CURRENT	NEW
Issue Name:		
Symbol:*		
CUSIP:		
Security Type:		
Par Value:		
Par Value Currency:		

** For a change in trading symbol, if a symbol reservation request has not already been submitted, IEX recommends that the Company complete and submit a Symbol Reservation Request prior to submission of this form.*

SECTION 3. Change in Place of Incorporation or Organization

	CURRENT	NEW
State:		
Country:		



Has the Company filed new articles of incorporation with the applicable state or country regulatory body?

Yes No

SECTION 4. Reverse Stock Split

The information provided below shall be deemed subject to immediate public disclosure unless clearly noted otherwise.

Note: A new CUSIP number is required for a reverse stock split prior to the effective date.

Issue Symbol:

Issue Description:

Stock Split Ratio:

Pre-Split TSO:

Post-Split TSO (maximum):

Pre-Split par value:

Post-Split par value:

Method of settling fractional shares:

Post-Split number of publicly held shares:

Post-Split number of total/public shareholders:

Does the reverse stock split impact any other related securities that are publicly traded? Yes No

Explain any conditions which must be met for the transaction to become effective.

SECTION 5. Other Type of Substitution Listing Event

Describe the type of issuance/reason for change and the expected effective date.



Explain any conditions which must be met for the transaction(s) to become effective.

Specify the details pre and post transaction.

Are there any changes to the voting rights or equity participation rights associated with this transaction?

Yes No

SECTION 6. Event Requiring an Updated Corporate Governance Certification or Listing Agreement

Briefly describe the reason for the update (e.g., ceased being a controlled company, no longer a foreign private issuer).

CUSIP Information

Has the Company obtained a new CUSIP number as a result of the change(s) being reported?

Yes No Do Not Know

If you answered "NO" above, please provide confirmation that the Company has advised the CUSIP Service Bureau of the pending change(s) and has confirmed that a new CUSIP is not necessary.

If you answered "DO NOT KNOW" above, please contact the CUSIP Service Bureau at 212-438-6500 to advise them of the pending change and determine if a new number is necessary.

For more information, please contact the CUSIP Service Bureau at 212-438-6500.

Comments

Please provide any additional information that may help Staff process this form.



PART III: EVENT-SPECIFIC INFORMATION

Please provide the following dates, as applicable.

Effective Date:	
Date all legal filings were or are anticipated to be effective:	
Date of approval for the event(s) by the Board of Directors:	
Date of approval for the event(s) by shareholders:	
Anticipated date of closing:	
Effective date of charter amendment:	

PART IV: SUPPORTING DOCUMENTATION

To facilitate the review process, please provide the following information and attach any supporting documentation, as applicable.

If the event resulted in the Company receiving a new SEC File Number, please provide:	
If the event resulted in the Company receiving a new Central Index Key (CIK) code, please provide:	
<i>If a new CUSIP was not required in this instance, please provide confirmation that the Company has advised the CUSIP Service Bureau of this change and confirmed that a new CUSIP was not necessary. A confirmation is required for all changes, except trading symbol changes.</i>	

PART V: AFFIRMATION

I have been authorized by the Company and have the legal authority to provide information on the Company's behalf; to the best of my knowledge and belief, the information provided is true and correct as of this date; and the Company will promptly notify IEX of any material changes.

Signature of Duly Authorized Representative

Title

Date

Companies have a continuing duty to update responses to each of the questions on this form whenever there is an addition to or change in information previously furnished.



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Please use these sheets to provide any additional information necessary to complete Parts I-IV and, if providing any additional information, sign the additional affirmation at the end.

PART I: CORPORATE INFORMATION – ADDITIONAL INFORMATION



PART II: TYPE OF CHANGE – ADDITIONAL INFORMATION

A large, empty rectangular box with a thin black border, intended for providing additional information regarding the type of change.



PART III: EVENT-SPECIFIC INFORMATION – ADDITIONAL INFORMATION

[Empty rectangular box for additional information]



PART IV: SUPPORTING DOCUMENTATION – ADDITIONAL INFORMATION

A large, empty rectangular box with a thin black border, intended for supporting documentation or additional information.



PART V: AFFIRMATION – ADDITIONAL INFORMATION

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